

Meeting	Audit & Risk Committee
Date	29/06/2022
Time	10:00 – 13:00
Hybrid meeting:	Optima Boardroom/ Click here to join the meeting

Pre-meet between Non-Executive Board Members and Internal Audit from 09:15.

Agenda

1	Welcome and apologies		10:00
2	Previous meeting 2.1 Minute – 2 March 2022 2.2 Action log		10:05
3	Declarations or conflicts of interest		10:15
Substantive items for discussion			
4	Internal Audit 4.1 DIAA Progress Report 4.2 IA Annual Assurance Report 2021-22 4.3 IA Management Letter Advisory Review of the Inspection Programme 4.4 IA SG Corporate Systems Annual Assurance Report 2021-22 (for information only) 4.5 IA Internal Audit Strategy 2022-23 (for information only) 4.6 IA Internal Audit Charter 2022-23	Jim Montgomery	10:20
5	External Audit 5.1 EA Proposed 2021-22 Annual Audit Report and Independent Auditor's Report Coversheet 5.1.1 EA Proposed 2021/22 Independent Auditor's Report 5.1.2 EA Proposed 2021/22 Annual Audit Report 5.2 EA Coversheet for update Paper 5.2.1 EA Update Paper – June 2022	Andrew Kerr	10:35
6	Certificates of Assurance 6.1 Certificates of Assurance Report 2021-22 6.1.1 Certificates of Assurance – Strategic Directors 6.1.2 SG People Directorate Assurance 6.1.3 SG Financial Management Directorate Assurance 6.1.4 SG Digital Directorate Assurance	Roz McCracken	10:50
7	Audit and Risk Committee Assurance 7.1 Audit and Risk Committee's Annual Report and Assurance 2021-22 to the Accountable Officer	Chair	11:05
8	Annual Report and Accounts for 2021-22 8.1 Draft Annual Report and Accounts 2021-22	Gillian Howells	11:20
9	Finance Update 9.1 Finance Update Report (as at 31 March 2022) 9.1.1 Finance Report (as at 31 March 2022) Annex 1	Gillian Howells	11:35

	9.2 Education Scotland Budget 2022-23 9.2.1 FY2022/23 Consolidated Budget Bids Annex A		
10	Risk Update 10.1 Education Scotland Risk Register Cover Paper 10.1.1 Education Scotland Risk Register 10.1.2 Directorate Heatmap	Roz McCracken	11:50
11	Transformation Programme Assurance Update 11.1 Transformation Cover Paper 11.2 Assurance of Action Plan – Final Report 11.2 Assurance of Action Plan – DAO Outcome Letter	Craig Clement	12:05
Items for Noting/for information (comments by exception)			
12	12.1 Financial Year 20-21 Procurement Performance Annual Report cover paper 12.1.1 Procurement Performance Annual Report FY 2020 - 2021		12:20
13	13.1 Health, Safety and Well-Being minutes 11 May 2022		12:25
14	14.1 Information Security Update		12:30
15	15.1 ES Information and Data Management Structures		12:35
Any other Business			
16	Any Other Business <ul style="list-style-type: none"> • Are there any risk implications from what has been discussed at this meeting? • Are there any key messages from the meeting to be conveyed to staff? 		12:40

Next meeting is on 7 September 2022 at 10:00 – 13:00, Optima, Glasgow / Hybrid